

REVERSION OF POWER SERIES AND THE EXTENDED RANEY COEFFICIENTS

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ABSTRACT. In direct as well as diagonal reversion of a system of power series, the reversion coefficients may be expressed as polynomials in the coefficients of the original power series. These polynomials have coefficients which are natural numbers (*Raney coefficients*). We provide a combinatorial interpretation for Raney coefficients. Specifically, each such coefficient counts a certain collection of ordered colored trees. We also provide a simple determinantal formula for Raney coefficients which involves multinomial coefficients.

Let F_1, \dots, F_n be polynomials in variables x_1, \dots, x_n with complex coefficients, where $n \geq 2$. Suppose, for each i , $F_i = x_i + \text{higher degree terms}$ and the Jacobian determinant of F_1, \dots, F_n is equal to 1. Then the *Jacobian Conjecture* [1], [9] asserts, in this case, that x_1, \dots, x_n are also polynomials in F_1, \dots, F_n with complex coefficients. This long-standing conjecture has not been solved even for $n = 2$. Since it can be proved that x_1, \dots, x_n are (formal) power series in F_1, \dots, F_n with complex coefficients, the Jacobian Conjecture asserts that these power series are really polynomials. This provides the motivation for this paper.

Let F_1, \dots, F_n be power series in variables x_1, \dots, x_n of the form $F_i = x_i + \text{higher degree terms}$ with indeterminate coefficients for each i . It is known (e.g. [2, Chapter III, Section 4.4, Proposition 5, p. 219]) that $F = (F_1, \dots, F_n)$ has a (unique) compositional inverse, i.e., there exists $G = (G_1, \dots, G_n)$ where each G_i is a power series in variables x_1, \dots, x_n such that $F \circ G = 1$ and $G \circ F = 1$, or equivalently, $F_i(G_1, \dots, G_n) = x_i$ and $G_i(F_1, \dots, F_n) = x_i$ for all i . There are various methods in the literature to find the coefficients of G_i . In this paper we shall present two new ones. Since each coefficient of G_i is a polynomial in the indeterminate coefficients of F_1, \dots, F_n , it is enough to find the coefficients of these polynomials. We will refer to these coefficients as the (*extended*) *Raney coefficients*. In the first method, generating functions in infinitely many variables are used to show that each Raney coefficient has a combinatorial interpretation as the number of colored trees in a certain collection (Theorems 2.4 and 2.5). In

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the second method, Jacobi's residue formula, in a more general setting (Theorem 1.4), is used to obtain a determinantal formula for each Raney coefficient (Corollary 2.7). Consequently this gives a formula for counting certain colored forests. These generalize Raney's results in [7] where $n = 1$.

1. FORMAL LAURENT SERIES AND JACOBI'S RESIDUE FORMULA

Let R be a commutative ring with identity and let \mathbb{Z} denote the ring of integers. A *Laurent monomial* in variables x_1, \dots, x_n is a power product $x_1^{j_1} x_2^{j_2} \cdots x_n^{j_n}$ where each exponent j_i is in \mathbb{Z} . The *degree* of this monomial is the sum of its exponents. A *homogeneous Laurent polynomial* over R in variables x_1, \dots, x_n is an R -linear combination of (finitely many) Laurent monomials of the same degree. A *formal Laurent series* in variables x_1, \dots, x_n with coefficients in R is an expression of the form

$$(1.1) \quad F = \sum_{k=-\infty}^{\infty} f_k,$$

where each f_k is either 0 or a homogeneous Laurent polynomial of degree k in x_1, \dots, x_n with coefficients in R , and there exists an integer p such that $f_k = 0$ for all $k < p$. In this case we shall call f_k the *homogeneous component* of F of degree k . The *order* of a nonzero formal Laurent series F is defined to be the smallest integer p such that $f_p \neq 0$. In this case, we shall call f_p the *initial part* of F . The set $R((x_1, \dots, x_n))$ ¹ of all formal Laurent series forms a commutative ring with identity under the obvious addition and multiplication. Note that if F and G have orders p and q respectively, then their product has order at least $p + q$. If, in addition, R is a field, then FG has order precisely $p + q$.

Remark. Let $R[[x_1, \dots, x_n]]_{x_1 x_2 \cdots x_n}$ denote the localization of the power series ring $R[[x_1, \dots, x_n]]$ at the multiplicative set $\{1, (x_1 x_2 \cdots x_n), (x_1 x_2 \cdots x_n)^2, \dots\}$. Note that if $n \geq 2$, then

$$R[[x_1, \dots, x_n]]_{x_1 x_2 \cdots x_n} \subsetneq R((x_1, \dots, x_n)),$$

as $\sum_{k=0}^{\infty} x_1^{2k+1} x_2^{-k}$ is not in the former but in the latter; although

$$R[[x_1]]_{x_1} = R((x_1)).$$

Lemma 1.1. *Let $F \in R((x_1, \dots, x_n))$ and let f_p be the initial part of F . Consider the following conditions.*

1. *F has a multiplicative inverse in $R((x_1, \dots, x_n))$.*
2. *f_p has a multiplicative inverse in $R((x_1, \dots, x_n))$.*
3. *f_p consists of a single term with invertible coefficient.*

Then $3 \Rightarrow 2 \Rightarrow 1$.

Proof. $3 \Rightarrow 2$. If $f_p = ax_1^{j_1} x_2^{j_2} \cdots x_n^{j_n}$ with a invertible in R , then f_p has multiplicative inverse $a^{-1} x_1^{-j_1} x_2^{-j_2} \cdots x_n^{-j_n}$ in $R((x_1, \dots, x_n))$.

$2 \Rightarrow 1$. If f_p is invertible in $R((x_1, \dots, x_n))$, then

$$F = \sum_{k=p}^{\infty} f_k = f_p \left[1 + f_p^{-1} \sum_{k=p+1}^{\infty} f_k \right] = f_p [1 - H]$$

¹Thanks are due to Boo Barkee for this eye-catching notation.

where $H = (-1)f_p^{-1} \sum_{k=p+1}^{\infty} f_k$ is a formal Laurent series. Since $\text{order}(f_p^{-1}) = -p$, it follows that $\text{order}(H) \geq 1$. Hence $\text{order}(H^k) \geq k$ and therefore $1 + H + H^2 + \cdots \in R((x_1, \dots, x_n))$. Thus F is invertible with inverse $f_p^{-1}(1 + H + H^2 + \cdots)$. \square

Remark. In case R is a field the three conditions above are equivalent.

The *residue* of a formal Laurent series F in $R((x_1, \dots, x_n))$ is the coefficient of $x_1^{-1}x_2^{-1} \cdots x_n^{-1}$ in F . Note that residue is additive in the sense that if an infinite sum $\sum_{i \in I} G_i$ makes sense, then

$$(1.2) \quad \text{residue} \left(\sum_{i \in I} G_i \right) = \sum_{i \in I} \text{residue}(G_i).$$

In what follows we let

$$\frac{\partial(H_1, \dots, H_n)}{\partial(x_1, \dots, x_n)}$$

be the Jacobian determinant of $H_1, \dots, H_n \in R((x_1, \dots, x_n))$ with respect to x_1, \dots, x_n .

Lemma 1.2 ([9, pp. 471–472]). *Let $\llbracket H_1, \dots, H_n \rrbracket = \frac{\partial(H_1, \dots, H_n)}{\partial(x_1, \dots, x_n)}$. Then*

1. $\llbracket \cdot, \dots, \cdot \rrbracket$ is R -multilinear.
2. $\llbracket \cdot, \dots, \cdot \rrbracket$ is alternating, i.e., $\llbracket H_1, \dots, H_n \rrbracket = 0$ if there exist $i \neq j$ such that $H_i = H_j$.
3. $\llbracket \cdot, \dots, \cdot \rrbracket$ is anticommutative, i.e.,

$$\llbracket H_1, \dots, H_i, \dots, H_j, \dots, H_n \rrbracket = -\llbracket H_1, \dots, H_j, \dots, H_i, \dots, H_n \rrbracket.$$
4. (Product Rule) $\llbracket F_1 G_1, H_2, \dots, H_n \rrbracket = F_1 \llbracket G_1, H_2, \dots, H_n \rrbracket + G_1 \llbracket F_1, H_2, \dots, H_n \rrbracket.$
5. (Power Rule) For any integer m ,

$$\llbracket H_1^m, H_2, \dots, H_n \rrbracket = m H_1^{m-1} \llbracket H_1, H_2, \dots, H_n \rrbracket.$$

(Here we require that H_1 be invertible if m is negative.)

6. If H is invertible, then $\llbracket H^{-1}, H, H_3, \dots, H_n \rrbracket = 0$.

Lemma 1.3. $\text{residue} \left(\frac{\partial(F_1, \dots, F_n)}{\partial(x_1, \dots, x_n)} \right) = 0$.

Proof. By 1 of Lemma 1.2 and (1.2), it is enough to examine the case where each F_i consists of a single term:

$$F_i = a_i x_1^{b_{i1}} x_2^{b_{i2}} \cdots x_n^{b_{in}}.$$

Factoring $a_i x_1^{b_{i1}} x_2^{b_{i2}} \cdots x_n^{b_{in}}$ from the i^{th} row of the Jacobian matrix for all i , and then factoring x_j^{-1} from the j^{th} column for all j , we have

$$\frac{\partial(F_1, \dots, F_n)}{\partial(x_1, \dots, x_n)} = a_1 \cdots a_n \begin{vmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{vmatrix} x_1^{-1+\sum b_{i1}} \cdots x_n^{-1+\sum b_{in}}.$$

Suppose $\sum b_{i1} = \cdots = \sum b_{in} = 0$. Then the displayed determinant is 0 and therefore the residue is 0. Otherwise, at least one of the x_i 's has exponent $\neq -1$ and so the residue is 0 by definition. \square

Theorem 1.4 (Jacobi's Residue Formula). *Suppose, for each $i = 1, \dots, n$, $F_i \in R((x_1, \dots, x_n))$ is of the form*

$$F_i = a_i x_1^{b_{i1}} x_2^{b_{i2}} \cdots x_n^{b_{in}} + \text{higher degree terms}$$

with a_i invertible in R . Then

$$\begin{aligned} & \text{residue} \left(F_1^{e_1} F_2^{e_2} \cdots F_n^{e_n} \frac{\partial(F_1, \dots, F_n)}{\partial(x_1, \dots, x_n)} \right) \\ &= \begin{cases} \begin{vmatrix} b_{11} & \cdots & b_{1n} \\ \vdots & & \vdots \\ b_{n1} & \cdots & b_{nn} \end{vmatrix}, & \text{if } e_1 = e_2 = \cdots = e_n = -1, \\ 0, & \text{otherwise.} \end{cases} \end{aligned}$$

Proof. We first consider the case $e_1 = e_2 = \cdots = e_n = -1$. Following the proof of Lemma 1.3, we have

$$\begin{aligned} \frac{\partial(F_1, \dots, F_n)}{\partial(x_1, \dots, x_n)} &= a_1 \cdots a_n \begin{vmatrix} b_{11} & \cdots & b_{1n} \\ \vdots & & \vdots \\ b_{n1} & \cdots & b_{nn} \end{vmatrix} x_1^{-1+\sum b_{i1}} \cdots x_n^{-1+\sum b_{in}} \\ &\quad + \text{higher degree terms.} \end{aligned}$$

Since a_i is invertible, by the proof of Lemma 1.1, we have

$$F_i^{-1} = a_i^{-1} x_1^{-b_{i1}} x_2^{-b_{i2}} \cdots x_n^{-b_{in}} + \text{higher degree terms}$$

for each i . Hence the result follows.

Consider now the remaining case. Permuting the F_i and using 3 of Lemma 1.2, we may assume that $e_1 \neq -1, \dots, e_j \neq -1$, but $e_{j+1} = \cdots = e_n = -1$, for some j , $1 \leq j \leq n$. Setting $G_i = \frac{1}{e_i+1} F_i^{e_i+1}$ and using 5, 1 and, successively, 4, 6 and 3 of Lemma 1.2, we have

$$\begin{aligned} & F_1^{e_1} F_2^{e_2} \cdots F_n^{e_n} [F_1, \dots, F_n] \\ &= \frac{1}{e_1+1} \cdots \frac{1}{e_j+1} F_{j+1}^{-1} \cdots F_n^{-1} [F_1^{e_1+1}, \dots, F_j^{e_j+1}, F_{j+1}, \dots, F_n] \\ &= F_{j+1}^{-1} \cdots F_n^{-1} [G_1, \dots, G_j, F_{j+1}, \dots, F_n] \\ &= F_{j+2}^{-1} \cdots F_n^{-1} [F_{j+1}^{-1} G_1, \dots, G_j, F_{j+1}, \dots, F_n] \\ &= F_{j+3}^{-1} \cdots F_n^{-1} [F_{j+1}^{-1} F_{j+2}^{-1} G_1, \dots, G_j, F_{j+1}, \dots, F_n] \\ &\quad \dots \dots \dots \\ &= [F_{j+1}^{-1} F_{j+2}^{-1} F_{j+3}^{-1} \cdots F_n^{-1} G_1, \dots, G_j, F_{j+1}, \dots, F_n]. \end{aligned}$$

The result now follows from Lemma 1.3. \square

Corollary 1.5. *Suppose, for each $i = 1, \dots, n$, $F_i \in R((x_1, \dots, x_n))$ is of the form*

$$F_i = a_i x_i + \text{higher degree terms}$$

with a_i invertible in R . Suppose also that for nonnegative integers p_1, \dots, p_n ,

$$(1.3) \quad x_1^{p_1} x_2^{p_2} \cdots x_n^{p_n} = \sum_{l_1, \dots, l_n} d_{l_1, \dots, l_n}^{p_1, \dots, p_n} F_1^{l_1} F_2^{l_2} \cdots F_n^{l_n},$$

where $d_{l_1, \dots, l_n}^{p_1, \dots, p_n} \in R$. Then

$$d_{m_1, \dots, m_n}^{p_1, \dots, p_n} = \text{residue} \left(x_1^{p_1} x_2^{p_2} \cdots x_n^{p_n} F_1^{-m_1-1} \cdots F_n^{-m_n-1} \frac{\partial(F_1, \dots, F_n)}{\partial(x_1, \dots, x_n)} \right).$$

Proof.

$$\begin{aligned} & \text{residue} \left(x_1^{p_1} x_2^{p_2} \cdots x_n^{p_n} F_1^{-m_1-1} \cdots F_n^{-m_n-1} \frac{\partial(F_1, \dots, F_n)}{\partial(x_1, \dots, x_n)} \right) \\ &= \text{residue} \left(\sum_{l_1, \dots, l_n} d_{l_1, \dots, l_n}^{p_1, \dots, p_n} F_1^{l_1-m_1-1} \cdots F_n^{l_n-m_n-1} \frac{\partial(F_1, \dots, F_n)}{\partial(x_1, \dots, x_n)} \right) \quad \text{by (1.3)} \\ &= \text{residue} \left(d_{m_1, \dots, m_n}^{p_1, \dots, p_n} F_1^{-1} \cdots F_n^{-1} \frac{\partial(F_1, \dots, F_n)}{\partial(x_1, \dots, x_n)} \right) \quad \text{by (1.2) and Theorem 1.4} \\ &= d_{m_1, \dots, m_n}^{p_1, \dots, p_n} \quad \text{by Theorem 1.4. } \square \end{aligned}$$

2. POWER SERIES REVERSION IN TWO VARIABLES

A *2-colored tree* is a (finite) rooted tree in which every node has either color 1 or color 2 and the children of each node are linearly ordered in such a way that nodes of color 1 always precede those of color 2. A (p, q) -*forest* F is an ordered set of p 2-colored trees with color-1 roots followed by q 2-colored trees with color-2 roots. For instance, a $(1, 0)$ -forest is simply a 2-colored tree whose root has color 1.

Let \mathbb{N} denote the set of nonnegative integers and let $\alpha = (\alpha_{ij})_{j=0,1,2,\dots}^{i=0,1,2,\dots}$, $\beta = (\beta_{ij})_{j=0,1,2,\dots}^{i=0,1,2,\dots}$ be two matrices over \mathbb{N} with only finitely many nonzero entries. We shall call the ordered pair (α, β) the *inventory* of F if α_{ij} equals the number of color-1 nodes in F with i children of color 1 and j children of color 2, and β_{ij} equals the number of color-2 nodes in F with i children of color 1 and j children of color 2.

Let

$$\sigma(\alpha) = \sum_{i,j} \alpha_{ij}, \quad \sigma_1(\alpha) = \sum_{i,j} i\alpha_{ij},$$

and

$$\sigma_2(\alpha) = \sum_{i,j} j\alpha_{ij}.$$

Example 2.0. Consider the 2-colored tree T in Figure 2.1. A round node indicates that it is of color 1 (or female) while a square node indicates that it is of color 2 (or male). Then α_{ij} counts the number of female nodes with i daughters and j sons, and β_{ij} counts the number of male nodes with i daughters and j sons.

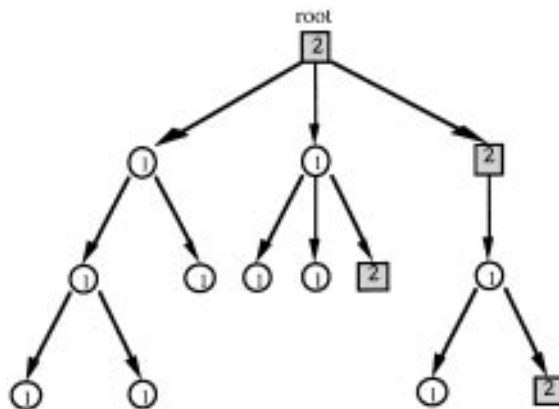


FIGURE 2.1

The inventory of the tree T is

$$\begin{aligned}
 (\alpha, \beta) &= \begin{pmatrix} \alpha_{00} & \alpha_{01} & \alpha_{02} & \dots & \vdots & \beta_{00} & \beta_{01} & \beta_{02} & \dots \\ \alpha_{10} & \alpha_{11} & \alpha_{12} & \dots & \vdots & \beta_{10} & \beta_{11} & \beta_{12} & \dots \\ \alpha_{20} & \alpha_{21} & \alpha_{22} & \dots & \vdots & \beta_{20} & \beta_{21} & \beta_{22} & \dots \\ \dots & \dots & \dots & \dots & \vdots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \vdots & \dots & \dots & \dots & \dots \end{pmatrix} \\
 &= \begin{pmatrix} 6 & 0 & & \vdots & 2 & 0 & & \\ 0 & 1 & \mathbf{0} & \vdots & 1 & 0 & \mathbf{0} & \\ 2 & 1 & & \vdots & 0 & 1 & & \\ \mathbf{0} & \mathbf{0} & \vdots & \mathbf{0} & \mathbf{0} & & & \end{pmatrix}.
 \end{aligned}$$

Lemma 2.1. *If (α, β) is the inventory of a (p, q) -forest F , then*

$$\begin{aligned}
 (2.1) \quad p &= \sigma(\alpha) - \sigma_1(\alpha + \beta), \\
 q &= \sigma(\beta) - \sigma_2(\alpha + \beta).
 \end{aligned}$$

Proof. Note that $\sum \alpha_{ij}$ is the number of color-1 nodes in F and $\sum \beta_{ij}$ is the number of color-2 nodes in F . Since the number of children of color 1 is equal to $\sum i\alpha_{ij} + \sum i\beta_{ij}$, the first identity follows from the fact that roots are the only nodes that are not children. The second identity can be proved similarly. \square

Remark. The converse of the above is true if $pq \neq 0$ (see Corollary 3.4). To see that the condition $pq \neq 0$ is necessary, let $p = 1$, $q = 0$, $\alpha_{00} = 1$, $\beta_{01} = 1$ with all other α_{ij} 's and β_{ij} 's being zero. Then (2.1) is satisfied but (α, β) is not the inventory of any $(1, 0)$ -forest.

Given a pair (α, β) of matrices as before, define $R(\alpha, \beta)$ to be the number of (p, q) -forests with inventory (α, β) where p and q are defined by (2.1). For $p \geq 0$ and $q \geq 0$, we shall denote by $\mathcal{F}_{p,q}$ the set of all (α, β) satisfying (2.1). Let X and

Y be the generating functions for the number of $(1, 0)$ -forests and the number of $(0, 1)$ -forests respectively, both classified by inventories. Then

$$(2.2) \quad \begin{aligned} X &= \sum_{\mathcal{F}_{1,0}} R(\alpha, \beta) \prod a_{ij}^{\alpha_{ij}} \prod b_{ij}^{\beta_{ij}}, \\ Y &= \sum_{\mathcal{F}_{0,1}} R(\alpha, \beta) \prod a_{ij}^{\alpha_{ij}} \prod b_{ij}^{\beta_{ij}}. \end{aligned}$$

The sum in the first equation should be over all inventories of $(1, 0)$ -forests; however, it can be extended to $\mathcal{F}_{1,0}$ for if (α, β) is in $\mathcal{F}_{1,0}$ but is not the inventory of any $(1, 0)$ -forest, then $R(\alpha, \beta) = 0$. Both X and Y are formal power series in infinitely many variables a_{ij}, b_{ij} , where $i, j = 0, 1, 2, \dots$.

Lemma 2.2. $X^p Y^q$ is the generating function for the number of (p, q) -forests classified by inventories. In fact,

$$X^p Y^q = \sum_{\mathcal{F}_{p,q}} R(\alpha, \beta) \prod a_{ij}^{\alpha_{ij}} \prod b_{ij}^{\beta_{ij}}.$$

Proof. Let (α, β) be the inventory of a (p, q) -forest. Then $R(\alpha, \beta)$ is equal to $\sum R(\alpha_1, \beta_1) \cdots R(\alpha_{p+q}, \beta_{p+q})$ where the sum is over all sequences $(\alpha_1, \beta_1), \dots, (\alpha_{p+q}, \beta_{p+q})$ such that $\alpha = \sum \alpha_k, \beta = \sum \beta_k$, and (α_k, β_k) is the inventory of a $(1, 0)$ -forest for $k = 1, \dots, p$, (α_k, β_k) is the inventory of a $(0, 1)$ -forest for $k = p+1, \dots, p+q$. Clearly, the sum can be extended to all sequences such that $\alpha = \sum \alpha_k, \beta = \sum \beta_k$, and (α_k, β_k) is in $\mathcal{F}_{1,0}$ for $k = 1, \dots, p$, (α_k, β_k) is in $\mathcal{F}_{0,1}$ for $k = p+1, \dots, p+q$. Thus it follows from (2.2) that $X^p Y^q$ is the generating function for the number of (p, q) -forests. \square

Lemma 2.3. X and Y of (2.2) satisfy the following functional equations

$$(2.3) \quad \begin{aligned} X &= \sum a_{ij} X^i Y^j, \\ Y &= \sum b_{ij} X^i Y^j. \end{aligned}$$

Proof. Using Lemma 2.2, we see that $a_{pq} X^p Y^q$ is the generating function for the number of $(1, 0)$ -forests the removal of whose roots produces (p, q) -forests. Then the first equation follows by partitioning the set of all $(1, 0)$ -forests into classes by the number p of color-1-children and the number q of color-2-children of the roots. The proof for the second is similar. \square

Theorem 2.4 (Direct Reversion). Suppose

$$(2.4) \quad \begin{aligned} F &= x - \sum_{i+j \geq 2} a_{ij} x^i y^j, \\ G &= y - \sum_{i+j \geq 2} b_{ij} x^i y^j, \end{aligned}$$

where the a_{ij} and the b_{ij} are indeterminates. Then, for $p, q \geq 0$,

$$x^p y^q = \sum_{l,m} e_{l,m}^{p,q} F^l G^m$$

where

$$e_{l,m}^{p,q} = \sum R(\alpha, \beta) \prod_{i+j \geq 2} a_{ij}^{\alpha_{ij}} \prod_{i+j \geq 2} b_{ij}^{\beta_{ij}}$$

with the sum indexed by all (α, β) in $\mathcal{F}_{p,q}$ satisfying the condition that $l = \alpha_{00}$, $m = \beta_{00}$, and $\alpha_{10} = \alpha_{01} = \beta_{10} = \beta_{01} = 0$

Proof. Setting $a_{01} = a_{10} = b_{01} = b_{10} = 0$, $X = x$, $Y = y$, $a_{00} = F$, $b_{00} = G$ in (2.2), and using Lemma 2.3, we see that the following satisfies (2.4).

$$(2.5) \quad \begin{aligned} x &= \sum_{l,m} \left(\sum R(\alpha, \beta) \prod_{i+j \geq 2} a_{ij}^{\alpha_{ij}} \prod_{i+j \geq 2} b_{ij}^{\beta_{ij}} \right) F^l G^m, \\ y &= \sum_{l,m} \left(\sum R(\alpha, \beta) \prod_{i+j \geq 2} a_{ij}^{\alpha_{ij}} \prod_{i+j \geq 2} b_{ij}^{\beta_{ij}} \right) F^l G^m, \end{aligned}$$

where the first inner sum is indexed by all (α, β) in $\mathcal{F}_{1,0}$ and the second by $\mathcal{F}_{0,1}$, and in both cases, satisfying the conditions: $l = \alpha_{00}$, $m = \beta_{00}$ and $\alpha_{10} = \alpha_{01} = \beta_{10} = \beta_{01} = 0$. By [2, Chapter III, Section 4.4, Proposition 5, pp. 219–220], a right compositional inverse is also a left inverse in formal power series reversion, so (2.4) also satisfies (2.5). Now the result follows from Lemma 2.2. \square

Theorem 2.5 (Diagonal Reversion). *Suppose*

$$F = \frac{x}{1 + \sum_{i+j \geq 1} a_{ij} x^i y^j}, \quad G = \frac{y}{1 + \sum_{i+j \geq 1} b_{ij} x^i y^j},$$

where the a_{ij} and the b_{ij} are indeterminates. Then, for $p, q \geq 0$,

$$x^p y^q = \sum_{l,m} d_{l,m}^{p,q} F^l G^m$$

where

$$d_{l,m}^{p,q} = \sum R(\alpha, \beta) \prod_{i+j \geq 1} a_{ij}^{\alpha_{ij}} \prod_{i+j \geq 1} b_{ij}^{\beta_{ij}}$$

with the sum indexed by all (α, β) in $\mathcal{F}_{p,q}$ satisfying the conditions $l = \sigma(\alpha)$ and $m = \sigma(\beta)$.

Proof. Substitute $a_{00}a_{ij}$ for a_{ij} , $b_{00}b_{ij}$ for b_{ij} for all $i+j \geq 1$, and then set $a_{00} = F$, $b_{00} = G$ in (2.2). Now proceed as in the proof of Theorem 2.4. \square

In the following we shall find a formula for $R(\alpha, \beta)$ thus determining $e_{l,m}^{p,q}$ and $d_{l,m}^{p,q}$ in Theorems 2.4 and 2.5 respectively. For any matrix $\alpha = (\alpha_{ij})_{j=0,1,2,\dots}^{i=0,1,2,\dots}$ over \mathbb{N} with a finite number of nonzero entries, we define the multinomial and the “modified” multinomial coefficients by

$$\begin{aligned} M(\alpha) &= \binom{\sigma(\alpha)}{\alpha_{00}, \dots, \alpha_{ij}, \dots} = \frac{\sigma(\alpha)!}{\prod (\alpha_{ij}!)}, \\ \widetilde{M}(\alpha) &= \begin{cases} 1, & \text{if all } \alpha_{ij} = 0, \\ \frac{1}{\sigma(\alpha)} M(\alpha), & \text{otherwise.} \end{cases} \end{aligned}$$

Theorem 2.6. Suppose F and G are as in Theorem 2.5. Then

$$d_{l,m}^{p,q} = \sum \left| \begin{pmatrix} M(\alpha) & 0 \\ 0 & M(\beta) \end{pmatrix} - \begin{pmatrix} \widetilde{M}(\alpha) & 0 \\ 0 & \widetilde{M}(\beta) \end{pmatrix} \begin{pmatrix} \sigma_1(\alpha) & \sigma_2(\alpha) \\ \sigma_1(\beta) & \sigma_2(\beta) \end{pmatrix} \right| \\ \times \prod_{i+j \geq 1} a_{ij}^{\alpha_{ij}} \prod_{i+j \geq 1} b_{ij}^{\beta_{ij}}$$

with the sum indexed by all (α, β) in $\mathcal{F}_{p,q}$ satisfying the conditions $l = \sigma(\alpha)$ and $m = \sigma(\beta)$.

Proof. It suffices to prove

$$(2.6) \quad x^p y^q \begin{vmatrix} F^{-l-1} \frac{\partial F}{\partial x} & F^{-l-1} \frac{\partial F}{\partial y} \\ G^{-m-1} \frac{\partial G}{\partial x} & G^{-m-1} \frac{\partial G}{\partial y} \end{vmatrix} \\ = \sum_{\substack{\alpha, \beta \\ \sigma(\alpha)=l \\ \sigma(\beta)=m}} x^{\sigma_1(\alpha+\beta)-\sigma(\alpha)+p-1} y^{\sigma_2(\alpha+\beta)-\sigma(\beta)+q-1} \\ \times \begin{vmatrix} M(\alpha) - \widetilde{M}(\alpha)\sigma_1(\alpha) & 0 - \widetilde{M}(\alpha)\sigma_2(\alpha) \\ 0 - \widetilde{M}(\beta)\sigma_1(\beta) & M(\beta) - \widetilde{M}(\beta)\sigma_2(\beta) \end{vmatrix} \mathbf{A}^\alpha \mathbf{B}^\beta,$$

since the conclusion of Theorem 2.6 follows from taking the residue of (2.6) and using Corollary 1.5.

Let $H = 1 + \sum_{i+j \geq 1} a_{ij} x^i y^j$. Then $F = \frac{x}{H}$ and so,

$$\frac{\partial F}{\partial x} = \frac{1}{H} - \frac{x}{H^2} \frac{\partial H}{\partial x} = \frac{F}{x} - \frac{F^2}{x} \frac{\partial H}{\partial x}.$$

Hence

$$(2.7) \quad F^{-l-1} \frac{\partial F}{\partial x} = \frac{F^{-l}}{x} - \frac{F^{-l+1}}{x} \frac{\partial H}{\partial x}.$$

By binomial and multinomial expansions, we have

$$\left(1 + \sum_{i+j \geq 1} a_{ij} x^i y^j \right)^l = \sum_{k=0}^l \binom{l}{k} \left(\sum_{i+j \geq 1} a_{ij} x^i y^j \right)^k \\ = \sum_{k=0}^l \binom{l}{k} \sum_{\substack{\alpha' \\ \sigma(\alpha')=k}} \binom{k}{\alpha'} \prod_{i+j \geq 1} (a_{ij} x^i y^j)^{\alpha'_{ij}} \\ = \sum_{\substack{\alpha' \\ \sigma(\alpha') \leq l}} \binom{l}{l - \sigma(\alpha'), \alpha'_{01}, \alpha'_{10}, \dots, \alpha'_{ij}, \dots} \prod_{i+j \geq 1} (a_{ij} x^i y^j)^{\alpha'_{ij}}$$

where $\alpha' = (\alpha'_{ij})_{i+j \geq 1}$. Extend α' to $\alpha = (\alpha_{ij})_{i+j \geq 0}$ by letting $\alpha_{00} = l - \sigma(\alpha')$ and $\alpha_{ij} = \alpha'_{ij}$ for $i+j \geq 1$. Then $\sigma_1(\alpha) = \sigma_1(\alpha')$, $\sigma_2(\alpha) = \sigma_2(\alpha')$ and $\sigma(\alpha) = l$.

Thus the last expression equals

$$\sum_{\sigma(\boldsymbol{\alpha})=l} \binom{l}{\alpha_{00}, \alpha_{01}, \alpha_{10}, \dots, \alpha_{ij}, \dots} \prod_{i+j \geq 1} (a_{ij} x^i y^j)^{\alpha_{ij}}$$

and so

$$(2.8) \quad F^{-l} = \sum_{\sigma(\boldsymbol{\alpha})=l} M(\boldsymbol{\alpha}) x^{\sigma_1(\boldsymbol{\alpha})-\sigma(\boldsymbol{\alpha})} y^{\sigma_2(\boldsymbol{\alpha})} \mathbf{A}^{\boldsymbol{\alpha}}$$

where $\mathbf{A}^{\boldsymbol{\alpha}} = \prod_{i+j \geq 1} a_{ij}^{\alpha_{ij}}$.

Now, let $\boldsymbol{\varepsilon}(i, j)$ denote the matrix whose only nonzero entry is 1 and which occurs at the (i, j) -position. Then

$$\frac{\partial H}{\partial x} = \sum_{i+j \geq 1} i x^{i-1} y^j \mathbf{A}^{\boldsymbol{\varepsilon}(i, j)}.$$

Using (2.8), we see that

$$\frac{F^{-l+1}}{x} \frac{\partial H}{\partial x} = \sum_{i+j \geq 1} \sum_{\sigma(\boldsymbol{\alpha}')=l-1} i M(\boldsymbol{\alpha}') x^{\sigma_1(\boldsymbol{\alpha}')-\sigma(\boldsymbol{\alpha}')-1+i-1} y^{\sigma_2(\boldsymbol{\alpha}')+j} \mathbf{A}^{\boldsymbol{\alpha}'} \mathbf{A}^{\boldsymbol{\varepsilon}(i, j)}.$$

For each fixed pair (i, j) , let $\boldsymbol{\alpha} = \boldsymbol{\alpha}' + \boldsymbol{\varepsilon}(i, j)$. Then $\alpha_{ij} \neq 0$, $\sigma_1(\boldsymbol{\alpha}') + i = \sigma_1(\boldsymbol{\alpha})$, $\sigma_2(\boldsymbol{\alpha}') + j = \sigma_2(\boldsymbol{\alpha})$, $\sigma(\boldsymbol{\alpha}') + 1 = \sigma(\boldsymbol{\alpha})$, and $M(\boldsymbol{\alpha}') = \alpha_{ij} \widetilde{M}(\boldsymbol{\alpha})$. Hence

$$\begin{aligned} \frac{F^{-l+1}}{x} \frac{\partial H}{\partial x} &= \sum_{i+j \geq 1} \sum_{\substack{\sigma(\boldsymbol{\alpha})=l \\ \alpha_{ij} \neq 0}} i \alpha_{ij} \widetilde{M}(\boldsymbol{\alpha}) x^{\sigma_1(\boldsymbol{\alpha})-\sigma(\boldsymbol{\alpha})-1} y^{\sigma_2(\boldsymbol{\alpha})} \mathbf{A}^{\boldsymbol{\alpha}} \\ &= \sum_{\sigma(\boldsymbol{\alpha})=l} \widetilde{M}(\boldsymbol{\alpha}) \sigma_1(\boldsymbol{\alpha}) x^{\sigma_1(\boldsymbol{\alpha})-\sigma(\boldsymbol{\alpha})-1} y^{\sigma_2(\boldsymbol{\alpha})} \mathbf{A}^{\boldsymbol{\alpha}}. \end{aligned}$$

The last expression is obtained by noting the condition $\alpha_{ij} \neq 0$ for the inner sum is redundant as α_{ij} is a factor of the summand, and using the definition of $\sigma_1(\boldsymbol{\alpha})$ after the summation signs are interchanged. Hence it follows from (2.7) and (2.8) that

$$(2.9) \quad F^{-l-1} \frac{\partial F}{\partial x} = \sum_{\sigma(\boldsymbol{\alpha})=l} \left[M(\boldsymbol{\alpha}) - \widetilde{M}(\boldsymbol{\alpha}) \sigma_1(\boldsymbol{\alpha}) \right] x^{\sigma_1(\boldsymbol{\alpha})-\sigma(\boldsymbol{\alpha})-1} y^{\sigma_2(\boldsymbol{\alpha})} \mathbf{A}^{\boldsymbol{\alpha}}.$$

Since

$$\frac{\partial F}{\partial y} = 0 - \frac{F^2}{x} \frac{\partial H}{\partial y},$$

we may proceed as before to obtain

$$(2.10) \quad F^{-l-1} \frac{\partial F}{\partial y} = \sum_{\sigma(\boldsymbol{\alpha})=l} \left[0 - \widetilde{M}(\boldsymbol{\alpha}) \sigma_2(\boldsymbol{\alpha}) \right] x^{\sigma_1(\boldsymbol{\alpha})-\sigma(\boldsymbol{\alpha})} y^{\sigma_2(\boldsymbol{\alpha})-1} \mathbf{A}^{\boldsymbol{\alpha}}.$$

Likewise, we have

$$(2.11) \quad G^{-m} = \sum_{\substack{\beta \\ \sigma(\beta)=m}} M(\beta) x^{\sigma_1(\beta)} y^{\sigma_2(\beta)-\sigma(\beta)} \mathbf{B}^\beta,$$

$$(2.11) \quad G^{-m-1} \frac{\partial G}{\partial x} = \sum_{\substack{\beta \\ \sigma(\beta)=m}} \left[0 - \widetilde{M}(\beta) \sigma_1(\beta) \right] x^{\sigma_1(\beta)-1} y^{\sigma_2(\beta)-\sigma(\beta)} \mathbf{B}^\beta,$$

$$(2.12) \quad G^{-m-1} \frac{\partial G}{\partial y} = \sum_{\substack{\beta \\ \sigma(\beta)=m}} \left[M(\beta) - \widetilde{M}(\beta) \sigma_2(\beta) \right] x^{\sigma_1(\beta)} y^{\sigma_2(\beta)-\sigma(\beta)-1} \mathbf{B}^\beta.$$

Now (2.6) follows from (2.9), (2.10), (2.11) and (2.12). \square

Corollary 2.7. For any $(\alpha, \beta) \in \mathcal{F}_{p,q}$,

$$R(\alpha, \beta) = \left| \begin{pmatrix} M(\alpha) & 0 \\ 0 & M(\beta) \end{pmatrix} - \begin{pmatrix} \widetilde{M}(\alpha) & 0 \\ 0 & \widetilde{M}(\beta) \end{pmatrix} \begin{pmatrix} \sigma_1(\alpha) & \sigma_2(\alpha) \\ \sigma_1(\beta) & \sigma_2(\beta) \end{pmatrix} \right|.$$

Proof. Compare the results of Theorems 2.5 and 2.6. \square

Remark. Using Corollary 2.7 we can now determine the $e_{l,m}^{p,q}$ in Theorem 2.4.

3. GENERALIZATIONS

Using essentially the same proofs, all results in Section 2 can be generalized to an arbitrary number of colors. In what follows, we shall indicate how this can be done.

An n -colored tree is a (finite) rooted tree in which every node has one of the n colors and the children of each node are linearly ordered in such a way that children of color i always precede those of color j if $i < j$. A (p_1, \dots, p_n) -forest is an ordered set of n -colored trees with p_i trees of root-color i for $i = 1, \dots, n$ such that trees with root-color i always precede those of root-color j if $i < j$.

For $i = 1, \dots, n$, let $\alpha^{(i)} = (\alpha_{k_1, \dots, k_n}^{(i)})_{k_1, \dots, k_n \in \mathbb{N}}$ be an n -dimensional array over \mathbb{N} with finitely many nonzero entries. If, for each i and for each n -tuple (k_1, \dots, k_n) , $\alpha_{k_1, \dots, k_n}^{(i)}$ equals the number of color i nodes in a (p_1, \dots, p_n) -forest F with k_j children of color j for each $j = 1, \dots, n$, then $\widehat{\alpha} = (\alpha^{(1)}, \dots, \alpha^{(n)})$ is said to be the *inventory* of F .

For any n -dimensional array $\alpha = (\alpha_{k_1, \dots, k_n})_{k_1, \dots, k_n \in \mathbb{N}}$ over \mathbb{N} with finitely many nonzero entries, define $\sigma(\alpha) = \sum \alpha_{k_1, \dots, k_n}$ and $\sigma_i(\alpha) = \sum k_i \alpha_{k_1, \dots, k_n}$, for each i .

As in the case of Lemma 2.1, if $\widehat{\alpha} = (\alpha^{(1)}, \dots, \alpha^{(n)})$ is the inventory of a (p_1, \dots, p_n) -forest, then, for each i ,

$$(3.1) \quad p_i = \sigma(\alpha^{(i)}) - \sigma_i(\alpha^{(1)} + \dots + \alpha^{(n)}).$$

Given an n -tuple $\widehat{\alpha} = (\alpha^{(1)}, \dots, \alpha^{(n)})$ of n -dimensional arrays, let $R(\widehat{\alpha})$ be the number of (p_1, \dots, p_n) -forests with inventory $\widehat{\alpha}$, where each p_i is defined by (3.1). For $p_1, \dots, p_n \in \mathbb{N}$, we shall also denote by $\mathcal{F}_{p_1, \dots, p_n}$ the set of all $\widehat{\alpha} = (\alpha^{(1)}, \dots, \alpha^{(n)})$ satisfying (3.1). For each i , let X_i be the generating function for the number of

\mathbf{e}_i -forests classified by inventories. Here, $\mathbf{e}_i = (0, \dots, 0, 1, 0, \dots, 0)$, the 1 being in the i^{th} position. Then

$$X_i = \sum_{\mathcal{F}_{\mathbf{e}_i}} R(\hat{\alpha}) \prod_{j=1}^n \prod_{k_1, k_2, \dots, k_n} a_{k_1, k_2, \dots, k_n}^{(j)} \alpha_{k_1, k_2, \dots, k_n}^{(j)}.$$

One can show, as in Lemma 2.2, that $X_1^{p_1} X_2^{p_2} \cdots X_n^{p_n}$ is the generating function for the number of (p_1, \dots, p_n) -forests classified by inventories. Thus, as in Lemma 2.3, X_i satisfies the functional equation

$$X_i = \sum a_{k_1, \dots, k_n}^{(i)} X_1^{k_1} X_2^{k_2} \cdots X_n^{k_n},$$

for $i = 1, \dots, n$.

We can now state our main results for the general case of arbitrary n .

Theorem 3.1 (Direct Reversion). *For each $i = 1, \dots, n$, let*

$$F_i = x_i - \sum_{k_1 + \dots + k_n \geq 2} a_{k_1, \dots, k_n}^{(i)} x_1^{k_1} x_2^{k_2} \cdots x_n^{k_n},$$

where the $a_{k_1, \dots, k_n}^{(i)}$ are indeterminates. Then, for $p_1, \dots, p_n \in \mathbb{N}$,

$$x_1^{p_1} x_2^{p_2} \cdots x_n^{p_n} = \sum_{r_1, \dots, r_n} e_{r_1, \dots, r_n}^{p_1, \dots, p_n} F_1^{r_1} F_2^{r_2} \cdots F_n^{r_n},$$

where

$$e_{r_1, \dots, r_n}^{p_1, \dots, p_n} = \sum R(\hat{\alpha}) \prod_{j=1}^n \prod_{k_1 + \dots + k_n \geq 2} a_{k_1, \dots, k_n}^{(j)} \alpha_{k_1, \dots, k_n}^{(j)},$$

with the sum indexed by all $\hat{\alpha} = (\alpha^{(1)}, \dots, \alpha^{(n)})$ in $\mathcal{F}_{p_1, \dots, p_n}$ satisfying the conditions that $r_i = \alpha_{0, \dots, 0}^{(i)}$ and $\alpha_{\mathbf{e}_j}^{(i)} = 0$ for all i and j .

Theorem 3.2 (Diagonal Reversion). *For each $i = 1, \dots, n$, let*

$$F_i = \frac{x_i}{1 + \sum_{k_1 + \dots + k_n \geq 1} a_{k_1, \dots, k_n}^{(i)} x_1^{k_1} x_2^{k_2} \cdots x_n^{k_n}}.$$

Then, for $p_1, \dots, p_n \in \mathbb{N}$,

$$x_1^{p_1} x_2^{p_2} \cdots x_n^{p_n} = \sum_{r_1, \dots, r_n} d_{r_1, \dots, r_n}^{p_1, \dots, p_n} F_1^{r_1} F_2^{r_2} \cdots F_n^{r_n},$$

where

$$d_{r_1, \dots, r_n}^{p_1, \dots, p_n} = \sum R(\hat{\alpha}) \prod_{j=1}^n \prod_{k_1 + \dots + k_n \geq 1} a_{k_1, \dots, k_n}^{(j)} \alpha_{k_1, \dots, k_n}^{(j)},$$

with the sum indexed by all $\hat{\alpha} = (\alpha^{(1)}, \dots, \alpha^{(n)})$ in $\mathcal{F}_{p_1, \dots, p_n}$ satisfying the conditions that $r_i = \sigma(\alpha^{(i)})$, for all i .

Theorem 3.3 (Extended Raney Coefficient). *For any $\hat{\alpha} = (\alpha^{(1)}, \dots, \alpha^{(n)}) \in \mathcal{F}_{p_1, \dots, p_n}$,*

$$R(\hat{\alpha}) = \left| \begin{pmatrix} M(\alpha^{(1)}) & & \mathbf{0} \\ & \ddots & \\ \mathbf{0} & & M(\alpha^{(n)}) \end{pmatrix} \right| - \left| \begin{pmatrix} \widetilde{M}(\alpha^{(1)}) & & \mathbf{0} \\ & \ddots & \\ \mathbf{0} & & \widetilde{M}(\alpha^{(n)}) \end{pmatrix} \begin{pmatrix} \sigma_1(\alpha^{(1)}) & \dots & \sigma_n(\alpha^{(1)}) \\ \vdots & \ddots & \vdots \\ \sigma_1(\alpha^{(n)}) & \dots & \sigma_n(\alpha^{(n)}) \end{pmatrix} \right|.$$

Corollary 3.4. *Let $\hat{\alpha} = (\alpha^{(1)}, \dots, \alpha^{(n)}) \in \mathcal{F}_{p_1, \dots, p_n}$. If all $p_i > 0$, then $R(\hat{\alpha}) > 0$.*

Proof. If each $p_i > 0$, then, by (3.1), none of $\alpha^{(1)}, \dots, \alpha^{(n)}$ consists entirely of 0's; hence, $M(\alpha^{(i)}) = \sigma(\alpha^{(i)})\widetilde{M}(\alpha^{(i)})$, for all i . Thus, by Theorem 3.3,

$$R(\hat{\alpha}) = \prod_{i=1, \dots, n} \widetilde{M}(\alpha^{(i)}) \times \left| \begin{pmatrix} \sigma(\alpha^{(1)}) - \sigma_1(\alpha^{(1)}) & -\sigma_2(\alpha^{(1)}) & \dots & -\sigma_n(\alpha^{(1)}) \\ -\sigma_1(\alpha^{(2)}) & \sigma(\alpha^{(2)}) - \sigma_2(\alpha^{(2)}) & \dots & -\sigma_n(\alpha^{(2)}) \\ \vdots & \vdots & \ddots & \vdots \\ -\sigma_1(\alpha^{(n)}) & -\sigma_2(\alpha^{(n)}) & \dots & \sigma(\alpha^{(n)}) - \sigma_n(\alpha^{(n)}) \end{pmatrix} \right|.$$

The result now follows from Lemma 3.5 below. \square

Lemma 3.5. *Suppose B is a square matrix of order n with real entries b_{ij} such that $b_{ii} \geq 0$, for all i , and $b_{ij} \leq 0$, for $i \neq j$. If all the column-sums of B are nonnegative, then so is the determinant of B . If, furthermore, all the column-sums of B are positive, then so is the determinant of B .*

Proof. By hypothesis, the j^{th} column-sum $p_j = \sum_{i=1}^n b_{ij} \geq 0$, for all j . Thus we can write B as a sum of two matrices with one of them diagonal, namely,

$$B = P + B' \\ = \begin{pmatrix} p_1 & & & \\ & p_2 & & \\ & & \ddots & \\ \mathbf{0} & & & p_n \end{pmatrix} + \begin{pmatrix} -\sum_{k \neq 1} b_{k1} & b_{12} & \dots & b_{1n} \\ b_{21} & -\sum_{k \neq 2} b_{k2} & \dots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \dots & -\sum_{k \neq n} b_{kn} \end{pmatrix}.$$

Then

$$\det B = p_1 p_2 \cdots p_n + \left(\sum_{1 \leq i_1 < i_2 < \dots < i_l \leq n-1} c_{i_1, \dots, i_l} p_{i_1} p_{i_2} \cdots p_{i_l} \right) + \det B' \\ = p_1 p_2 \cdots p_n + \sum_{1 \leq i_1 < i_2 < \dots < i_l \leq n-1} c_{i_1, \dots, i_l} p_{i_1} p_{i_2} \cdots p_{i_l},$$

a polynomial in p_1, \dots, p_n , where c_{i_1, \dots, i_l} is the principal minor of B' obtained by deleting the i_j^{th} row and column of B' , for $j = 1, 2, \dots, l$. We only need to show that $c_{i_1, \dots, i_l} \geq 0$, for all $l = 1, 2, \dots, n-1$. However, this follows from the induction hypothesis, since B' as well as all its principal submatrices satisfy the hypotheses of Lemma 3.5. \square

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